

part of paper #2

FORM PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)	Application Number
321.5452USU	09/389,939
Applicant	
Paul T. Green	
Filing Date	Group Art Unit
September 3, 1999	2761 3627

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED
DEC 13 1999
TC 2100 11th FLOOR

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

ME	"A New Gold Medalist in Fund Software," by Robert Barker, Business Week, February 23, 1998, pp. 130.

EXAMINER <i>Michael Caff</i>	DATE CONSIDERED 9/18/02
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



part of paper # 3

Sheet 1 of 1

Docket Number (Optional)

321.5452USU

Application Number

09/389,939

Applicant

Paul T. Green

Filing Date

September 3, 1999

Group Art Unit

2761 3627

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ME	5,784,696	7/21/98	Meyer Melnikoff			
ME	5,799,287	8/25/98	Ron S. Dembo			
ME	5,930,774	7/27/99	William C. Chennault			
ME	5,946,666	8/31/99	Nevo et al.			

RECEIVED

MAR 09 2000

GROUP 2700

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

Michael Giff

DATE CONSIDERED

9/18/02

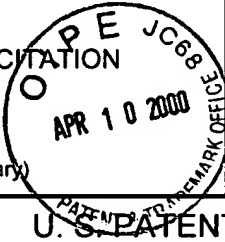
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number (Optional)

321.0017USU

Application Number

09/389,939

Applicant

Paul T. Green

Filing Date

December 1, 1999

Group Art Unit

2741 3627

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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APR 12 2000
TC 2700 MAIL ROOM

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

ME	"The Vanguard Retirement Planner"

EXAMINER

Michael Buff

DATE CONSIDERED

9/18/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.